

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Vaidyanathan et al.  
SERIAL NO.: To Be Assigned      GROUP NO.: To Be Assigned  
(Parent case: 10/060,484)      (Parent case: 3654)  
FILING DATE: Herewith      EXAMINER: To Be Assigned  
(Parent case: 30-Jan-02)      (Parent case: M.R. Mansen)  
TITLE: *Methods and Apparatus for Prescribing Web Tracking in Processing Equipment*

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir/Madam:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Forms PTO-1449 and PTO-892 (that are copied from the parent case), and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the publications are not enclosed, but were submitted in (and may be obtained from) the parent case.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**

- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

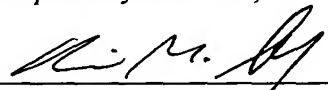
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
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<b>No.</b> <u>EL 946870949US</u> <b>Notice of References Cited</b>	Application/Control No. 10/060,484	Applicant(s)/Patent Under Reexamination VAIDYANATHAN ET AL.	
	Examiner Michael R Mansen	Art Unit 3654	Page 1 of 1

## U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-3,075,679	01-1963	Wadey	226/95
	B	US-4,065,044	12-1977	Painter et al.	226/95
	C	US-4,761,256	08-1988	Hardenbrook et al.	264/45.5
	D	US-4,943,446	07-1990	Isherwood et al.	427/9
	E	US-5,232,141	08-1993	Mittmeyer et al.	226/95
	F	US-5,667,418	09-1997	Fahlen et al.	445/25
	G	US-5,950,899	09-1999	Bassa, Altan	226/97.3
	H	US-6,443,389	09-2002	Palone, Thomas W.	226/97.3
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

## NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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SHEET 1 OF 1

FORM PTO - 1449	ATTORNEY DOCKET NO.: PTK-224
INFORMATION DISCLOSURE STATEMENT	APPLICANTS: Vaidyanathan et al.
	SERIAL NO.: To Be Assigned
	FILING DATE: Herewith
	GROUP: To Be Assigned

## U.S. PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)

## OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
	C1	Jones, D.P., "Traction in Web Handling: A Review " Proceedings of the 6 <sup>th</sup> International Conference on Web Handling, 10~13-June-01, Emral Ltd. UK, 23 pgs.
	C2	Schwarz, W., "Thermo-Mechanical Behaviour of the Web during Coating," LEYBOLD AG, Hanau, 13 pgs.
	C3	Wales et al., "Model For Thermal Creasing In Roll-To-Roll Vacuum Metallising," Proceedings of the 2 <sup>nd</sup> International Conference on Web Coating, Bakish Materials Corp., B.V.T. Ltd., 9~11-Sep-88, pp. 204-215.
EXAMINER		
DATE CONSIDERED		

VER 9/00

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